

# FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



# Annual Return (other than OPCs and Small Companies)

Form language  English  Hindi

Refer the instruction kit for filing the form.

## I. REGISTRATION AND OTHER DETAILS

(i) \* Corporate Identification Number (CIN) of the company

U15549MH2003PTC139352

Pre-fill

Global Location Number (GLN) of the company

\* Permanent Account Number (PAN) of the company

AABCN7126Q

(ii) (a) Name of the company

SULA VINEYARDS PRIVATE LIM

(b) Registered office address

901 Hubtown Solaris  
N.S. Phadke Marg, Andheri (E)  
Mumbai  
Mumbai City  
Maharashtra  
400060

(c) \*e-mail ID of the company

cs@sulawines.com

(d) \*Telephone number with STD code

02261280606

(e) Website

(iii) Date of Incorporation

26/02/2003

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Private Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes  No

(vi) \*Whether shares listed on recognized Stock Exchange(s)

Yes  No

(vii) \*Financial year From date  (DD/MM/YYYY) To date  (DD/MM/YYYY)

(viii) \*Whether Annual general meeting (AGM) held  Yes  No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted  Yes  No

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

\*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	C	Manufacturing	C1	Food, beverages and tobacco products	79.99
2	G	Trade	G1	Wholesale Trading	6.47

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given

**Pre-fill All**

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	ARTISAN SPIRITS PRIVATE LIMITE	U15122MH2011PTC222280	Subsidiary	100
2	PROGRESSIVE ALCOBEV DISTRI	U74120MH2011PTC214013	Subsidiary	51
3	SULA INTERNATIONAL LIMITE		Subsidiary	100

## IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

### (i) \*SHARE CAPITAL

#### (a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	20,206,000	15,080,374	15,080,374	15,080,374
Total amount of equity shares (in Rupees)	202,060,000	150,803,740	150,803,740	150,803,740

Number of classes

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity				
Number of equity shares	20,206,000	15,080,374	15,080,374	15,080,374
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	202,060,000	150,803,740	150,803,740	150,803,740

**(b) Preference share capital**

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

**(c) Unclassified share capital**

Particulars	Authorised Capital
Total amount of unclassified shares	0

**(d) Break-up of paid-up share capital**

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
<b>Equity shares</b>						
<b>At the beginning of the year</b>	8,301,525	6,742,663	15044188	150,441,880	150,441,880	
<b>Increase during the year</b>	2,012	0	2012	361,860	361,860	18,485,519.5
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0

v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	2,012	0	2012	20,120	20,120	1,442,604
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify				341,740	341,740	17,042,915.5
Conversion of Warrants						
<b>Decrease during the year</b>	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify				0	0	
0						
<b>At the end of the year</b>	8,303,537	6,742,663	15046200	150,803,740	150,803,740	

### Preference shares

<b>At the beginning of the year</b>	0	0	0	0	0	
<b>Increase during the year</b>	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify				0	0	
0						
<b>Decrease during the year</b>	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify				0	0	
0						
<b>At the end of the year</b>	0	0	0	0	0	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \*

Nil

[Details being provided in a CD/Digital Media]

Yes

No

Not Applicable

Separate sheet attached for details of transfers

Yes

No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		<input type="text"/>	
Date of registration of transfer (Date Month Year)		<input type="text"/>	
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	

Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor	<input type="text"/>
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Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text"/>
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Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

**(iv) \*Debentures (Outstanding as at the end of financial year)**

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
<b>Total</b>			0

**Details of debentures**

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

**(v) Securities (other than shares and debentures)**

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

**V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)**

**(i) Turnover**

3,396,359,000

**(ii) Net worth of the Company**

3,276,147,000

**VI. (a) \*SHARE HOLDING PATTERN - Promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	3,612,621	23.96	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	

6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others 0	0	0	0	
	<b>Total</b>	3,612,621	23.96	0	0

**Total number of shareholders (promoters)**

1

**(b) \*SHARE HOLDING PATTERN - Public/Other than promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	1,831,973	12.15	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	748,962	4.97	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	8,886,818	58.93	0	
10.	Others	0	0	0	



	<b>Total</b>	11,467,753	76.05	0	0
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**Total number of shareholders (other than promoters)**

32

**Total number of shareholders (Promoters+Public/  
Other than promoters)**

33

**VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS  
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	7	1
Members (other than promoters)	26	32
Debenture holders	0	0

**VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**(A) \*Composition of Board of Directors**

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
<b>A. Promoter</b>	1	1	1	0	0	0
<b>B. Non-Promoter</b>	0	3	0	3	0	0
(i) Non-Independent	0	3	0	3	0	0
(ii) Independent	0	0	0	0	0	0
<b>C. Nominee Directors representing</b>	0	3	0	3	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	3	0	3	0	0
<b>Total</b>	1	7	1	6	0	0

**Number of Directors and Key managerial personnel (who is not director) as on the financial year end date**

9

**(B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year**

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
ALOK SURESHCHAND	00019098	Director	0	
RAJEEV SAMANT	00020675	Managing Director	3,612,621	
CHETAN RAMESHCHA	03595319	Director	0	
ARJUN ANAND	07639288	Nominee director	0	
KERRY ROLF DAMSKE	07793310	Director	7,199	
SHAGUN TIWARY SHA	08972569	Nominee director	0	
HANK UBEROI	01807181	Director	0	01/07/2021
DEEPAK ISHWARDAS	00444270	Nominee director	0	01/07/2021
SAPNA KESTO KARMC	BRVPS5213E	Company Secretar	0	

**(ii) Particulars of change in director(s) and Key managerial personnel during the year**

8

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
GAYATRI P YADAV	08741175	Additional director	14/05/2020	Appointment
JEHANGIR ADI MOOS	00020609	Director	14/05/2020	Cessation
GAYATRI P YADAV	08741175	Additional director	19/07/2020	Cessation
ALOK SURESHCHAND	00019098	Additional director	02/12/2020	Appointment
SHAGUN TIWARY SHA	08972569	Additional director	03/12/2020	Appointment
ALOK SURESHCHAND	00019098	Additional director	16/12/2020	Change in designation
SHAGUN TIWARY SHA	08972569	Nominee director	16/12/2020	Change in designation
NICHOLAS PETER Y C	07068629	Nominee director	03/12/2020	Cessation

**IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS****A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS**

Number of meetings held

3

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Extra Ordinary General Meeting	16/12/2020	33	8	75.58
Extra Ordinary General Meeting	30/03/2021	33	8	75.18
Annual General Meeting	29/09/2020	33	8	76.46

## B. BOARD MEETINGS

\*Number of meetings held

4

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	14/05/2020	8	8	100
2	18/09/2020	7	7	100
3	03/12/2020	8	8	100
4	16/03/2021	8	8	100

## C. COMMITTEE MEETINGS

Number of meetings held

6

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	13/05/2020	3	3	100
2	Audit Committee	17/09/2020	3	3	100
3	Audit Committee	02/12/2020	3	3	100
4	Audit Committee	15/03/2021	3	3	100
5	IPO Readiness	02/12/2020	4	4	100
6	IPO Readiness	15/03/2021	4	4	100

## D. \*ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	30/07/2021
								(Y/N/NA)
1	ALOK SURES	2	2	100	3	3	100	Yes
2	RAJEEV SAM	4	4	100	2	2	100	Yes
3	CHETAN RAM	4	4	100	6	6	100	Yes
4	ARJUN ANAN	4	4	100	6	6	100	Yes
5	KERRY ROLF	4	4	100	0	0	0	No
6	SHAGUN TIW	2	2	100	0	0	0	Yes
7	HANK UBERC	4	4	100	0	0	0	No

**X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	RAJEEV SAMANT	Managing Director	30,000,000	0	0	0	30,000,000
	Total		30,000,000	0	0	0	30,000,000

Number of CEO, CFO and Company secretary whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	SAPNA KESTO KA	Company Secretary	2,142,000	0	0	0	2,142,000
	Total		2,142,000	0	0	0	2,142,000

Number of other directors whose remuneration details to be entered

10

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	JEHANGIR ADI MO	Director	0	0	0	38,000	38,000
2	DEEPAK ISHWAR	Nominee Director	0	0	0	149,000	149,000
3	KERRY ROLF DAM	Director	0	0	1,462,581	149,000	1,611,581

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
4	HANK UBEROI	Director	0	0	0	149,000	149,000
5	NICHOLAS PETER	Nominee Director	0	0	0	75,000	75,000
6	CHETAN RAMESH	Director	0	0	0	738,000	738,000
7	ARJUN ANAND	Nominee Director	0	0	0	296,000	296,000
8	GAYATRI YADAV	Additional Director	0	0	0	38,000	38,000
9	SHAGUN TIWARY	Nominee Director	0	0	0	200,000	200,000
10	ALOK VAJPEYI	Director	0	0	0	600,000	600,000
	Total		0	0	1,462,581	2,432,000	3,894,581

#### XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

- \* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year  Yes  No
- B. If No, give reasons/observations

#### XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

#### XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes  No

#### XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

MARTINHO FERRAO & ASSOCIATES

Whether associate or fellow

Associate  Fellow

Certificate of practice number

5676

#### I/We certify that:

(a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.

(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

(c) The company has not, since the date of the closure of the last financial year with reference to which the last return was submitted or in the case of a first return since the date of the incorporation of the company, issued any invitation to the public to subscribe for any securities of the company.

(d) Where the annual return discloses the fact that the number of members, (except in case of a one person company), of the company exceeds two hundred, the excess consists wholly of persons who under second proviso to clause (ii) of sub-section (68) of section 2 of the Act are not to be included in reckoning the number of two hundred.

#### Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ...

36

dated

15/07/2021

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

**Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.**

#### To be digitally signed by

Director

RAJEEV  
SAMANT  
Digitally signed by  
RAJEEV SAMANT  
Date: 2021.12.01  
12:11:42 +0530'

DIN of the director

00020675

#### To be digitally signed by

RUCHI  
PRAMOD  
SATHE  
Digitally signed by  
RUCHI PRAMOD  
SATHE  
Date: 2021.12.01  
12:30:15 +0530'

Company Secretary

Company secretary in practice

Membership number

33566

Certificate of practice number

**Attachments**

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

**Attach****Attach****Attach****Attach****List of attachments**

Shareholding Pattern as on 31-03-2021.pdf  
MGT-8\_Sula\_2021.pdf  
Clarification Letter.pdf

**Remove attachment**

Modify

Check Form

Prescrutiny

Submit

**This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company**



### Form No. MGT-8

*[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of the Companies (Management and Administration) Rules, 2014]*

#### CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

I have examined the registers, records, books and papers of **SULA VINEYARDS PRIVATE LIMITED** (CIN: U15549MH2003PTC139352) ("the Company") as required to be maintained under the Companies Act, 2013 ("the Act") and the rules made thereunder for the financial year ended 31<sup>st</sup> March, 2021. In my opinion and to the best of my information and according to the examinations carried out by me and explanations furnished to me by the Company, its officers and agents, I certify that:

- A. the Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. during the aforesaid financial year, the Company has complied with provisions of the Act and Rules made there under in respect of:
  1. its status under the Act;
  2. maintenance of registers/records and making entries therein within the time prescribed thereof;
  3. filing of forms and returns as stated in the annual return, with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities within the prescribed time;
  4. calling/convening/holding meetings of Board of Directors or its committees, if any and the meetings of the Members of the Company on due dates as stated in the annual return in respect of which Meetings, proper notices were given and the proceedings including the circular resolutions and resolutions passed by postal



ballot, if any, have been properly recorded in the Minutes Book/registers maintained for the purpose and the same have been signed;

5. closure of Register of Members/Security holders, as the case may be **-There were no such instances during the period under review;**
6. advances/loans to its Directors and/ or persons or firms or companies referred in section 185 of the Act **-There were no such instances during the period under review;**
7. contracts/arrangements/ transactions entered into by the Company with related parties as specified in section 188 of the Act were in ordinary course of business and on arm's length basis;
8. issue or allotment or transfer of shares or transmission of shares or buy-back of securities/ redemption of preference shares or debentures/ alteration or reduction of share capital/ conversion of shares/ securities and issue of security certificates - **During the period under review, the Company issued of 2012 Sweat Equity Shares of Rs.10 each and converted 34,174 warrants in to 34,174 equity shares of Rs. 10/- each at a premium out of 1,00,000 warrants issued. Further, there were no instances of allotment or transfer of shares/ buy back of securities/ redemption of preference shares or debentures/ alteration or reduction of share capital;**
9. keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act **-There were no such instances during the period under review;**
10. declaration/ payment of dividend; transfer of unpaid/ unclaimed dividend/ other amounts as applicable to the investor education and protection fund in accordance with section 125 of the Act **-During the period under review, the Company has not declared any dividend and the Company was not required to transfer of unpaid/ unclaimed dividend/ other amounts as applicable to the investor education and protection fund in accordance with section 125 of the Act;**
11. signing of audited financial statement as per the provisions of section 134 of the Act and Report of Directors is as per sub - sections (3), (4) and (5) thereof;
12. constitution/ appointment/ re-appointments/ retirement/ filling up casual vacancies/disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them

13. appointment/~~reappointment~~/ filling up casual vacancies of auditors as per the provisions of section 139 of the Act **-There were no such changes during the period under review;**
14. approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act;
15. acceptance/ renewal/ repayment of deposits **-There were no such instances during the period under review;**
16. borrowings from its Directors, Members, Public Financial Institutions, Banks and Others and creation/ modification/ satisfaction of charges in that respect, wherever applicable, was done in accordance with the provisions of the Companies Act, 2013;
17. loans and investments or guarantee given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act, wherever applicable, were done in accordance with the provisions of the Companies Act, 2013
18. alteration of the provisions of the Memorandum of Association of the Company was in compliance with the Company – **The Company altered its Articles of Association in Annual General Meeting held on 29<sup>th</sup> September 2020 and Extra-Ordinary General Meeting held on 16<sup>th</sup> December 2020 and 30<sup>th</sup> March 2021. Further, the Company has not altered its Memorandum of Association during the year under review**

**For Martinho Ferrao & Associates  
Company Secretaries**

MARTINHO  
PAUL FERRAO

Digitally signed by  
MARTINHO PAUL FERRAO  
Date: 2021.08.30 19:02:52  
+05'30'

**Martinho Ferrao**

Proprietor

FCS No. 6221

C P. No. 5676

UDIN: F006221C000858963

Place: Mumbai

Dated: 30<sup>th</sup> August, 2021